

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00-576-A)

PATENT

2163  
#4  
135  
03-1802

In the Application of:

Lawrence R. Conrath

Serial No.: 09/920,361

Filed: August 1, 2001

For: Database Management System  
And Method



Examiner: Not yet assigned

Group Art Unit: 2163

Confirmation No.: 4267

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DEC 7 2002  
Group 2100

Commissioner for Patents  
Washington, D.C. 20231

Sir:

TRANSMITTAL LETTER

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Technology Center 2100

In regard to the above identified application:

1. We are transmitting herewith the attached:

- a. Information Disclosure Statement including six (6) cited references
- b. Form PTO-1449
- c. Return Receipt Postcard

2. With respect to additional fees:

- ☒ No additional fees are required.
- ☒ Please charge any additional fees or credit overpayments to the Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 29th day of October, 2001.

Respectfully submitted,

McDonnell Boenhen Hulbert & Berghoff

Dated: October 29, 2001

By :

Amir N. Penn  
Reg. No. 40,767

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**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This prior art statement is filed under 37 C.F.R. §§1.97-1.98 in compliance with the duty of disclosure set forth in 37 C.F.R. §1.56. Applicants respectfully request that this statement together with the attached Form PTO-1449 and accompanied references be placed in the file for the subject application.

In the judgment of the undersigned, the references listed on the attached Form PTO-1449 may be material to the Examiner's consideration of the presently pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative relevance between references, whether cited in this statement or prior statements. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. §102.

In accordance with MPEP Sections 609 and 707.05(b), it is requested the documents cited

(including any cited in applicant's specification which are not repeated on the attached Form PTO-1449) be given thorough consideration and that they be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

### **CITED REFERENCES**

#### **US Patent Documents**

1. U.S. Patent No. 5,960,402, published September 28, 1999.
2. U.S. Patent No. 5,272,638, published December 21, 1993.
3. U.S. Patent No. 5,173,866, published December 22, 1992.
4. U.S. Patent No. 5,532,928, published July 2, 1996.
5. U.S. Patent No. 5,758,313, published May 26, 1998

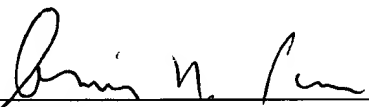
#### **Other Documents**

6. International Search Report for application PCT/US01/24197.

Respectfully submitted

**McDonnell Boehnen Hulbert & Berghoff**

Dated: October 29, 2001

By:   
Amir N. Penn  
Reg. No. 40,767

FORM PTO-1449  
(Rev. 2-32)

U.S. Department of Commerce  
Patent and Trademark Office

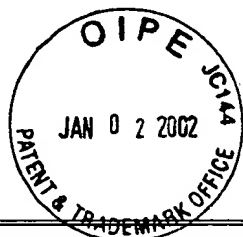
Atty. Docket No.

00-576-A

Serial No.

09/920,361

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)



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JAN 07 2002

Applicant:

Lawrence R. Conrath

Technology Group 2100

Filing Date:

August 1, 2001

Group:

2163

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	5,960,402	9/28/99	Embutsu et al.			
	AB	5,272,638	12/21/93	Martin et al.			
	AC	5,173,866	12/22/92	Neumann et al.			
	AD	5,532,928	7/2/96	Stanczyk et al.			
	AE	5,758,313	5/26/98	Shah et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

	CA	International Search Report for application PCT/US01/24197.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.